

CONTENTS

ARTICLE I. GENERAL PROVISIONS	1
101. Title	3
102. Purpose and Construction	4
103. Rulings on Evidence, Objections, and Offers of Proof	5
(a) Admission or Exclusion of Evidence	5
(b) Record of Offer and Ruling	5
(c) Hearing of Jury	5
(d) Substantial Risk of a Miscarriage of Justice in Criminal Cases	5
104. Preliminary Questions	11
(a) Determinations Made by the Court	11
(b) Relevancy Conditioned on Fact	11
(c) Hearing of Jury	11
(d) Testimony by Accused	11
(e) Weight and Credibility	11
105. Limited Admissibility	15
106. Doctrine of Completeness	16
(a) Remainder of Writings or Recorded Statements	16
(b) Curative Admissibility	16
ARTICLE II. JUDICIAL NOTICE.....	19
201. Judicial Notice of Adjudicative Facts	21
(a) Scope	21
(b) Kinds of Facts	21

(c)	When Taken.....	21
(d)	Opportunity to Be Heard	21
(e)	Instructing Jury	21
202.	Judicial Notice of Law	25
(a)	Mandatory.....	25
(b)	Permissive.....	25
(c)	Not Permitted.....	25

**ARTICLE III. INFERENCES, PRIMA FACIE EVIDENCE,
AND PRESUMPTIONS27**

301.	Civil Cases	29
(a)	Scope.....	29
(b)	Inferences.....	29
(c)	Prima Facie Evidence	29
(d)	Presumptions.....	29
302.	Criminal Cases	32
(a)	Scope.....	32
(b)	Inferences.....	32
(c)	Prima Facie Evidence	32
(d)	Presumptions.....	32

ARTICLE IV. RELEVANCY AND ITS LIMITS37

401.	Relevant Evidence.....	39
402.	Relevant Evidence Generally Admissible; Irrelevant Evidence Inadmissible.....	41
403.	Grounds for Excluding Relevant Evidence.....	43

404.	Character Evidence Not Admissible to Prove Conduct; Exceptions; Other Crimes	46
	(a) Character Evidence Generally	46
	(1) Character of the Accused	46
	(2) Character of the Victim	46
	(3) Character of the Witness	46
	(b) Other Crimes, Wrongs, or Acts	46
405.	Methods of Proving Character	51
	(a) Reputation.....	51
	(b) Specific Instances of Conduct	51
	(c) Violent Character of the Victim	51
406.	Routine Practice of Business; Individual Habit	53
	(a) Routine Practice of Business	53
	(b) Individual Habit	53
407.	Subsequent Remedial Measures.....	55
	(a) Exclusion of Evidence of Subsequent Remedial Measures	55
	(b) Limited Admissibility	55
408.	Compromise and Offers to Compromise in Civil Cases	57
409.	Expressions of Sympathy in Civil Cases; Payment of Medical and Similar Expenses.....	59
	(a) Expressions of Sympathy in Civil Cases	59
	(b) Payment of Medical and Similar Expenses	59
410.	Inadmissibility of Pleas, Offers of Pleas, and Related Statements	61

411. Insurance	63
(a) Exclusion of Evidence of Insurance	63
(b) Limited Admissibility	63
412. Past Sexual Conduct and Alleged Sexual Reputation (Rape-Shield Law)	65
(a) Rape Shield	65
(b) Exceptions.....	65
(c) Procedure to Determine Admissibility	65
413. First Complaint of Sexual Assault	68

ARTICLE V. PRIVILEGES AND DISQUALIFICATIONS ...71

Introductory Note.....	79
(a) General Duty to Give Evidence	79
(b) Interpretation of Privileges	79
(c) Most Privileges Are Not Self-Executing	79
(d) Confidentiality Versus Privilege	79
(e) Examples of Relationships in Which There May Be a Duty to Treat Information as Confidential Even Though There Is No Testimonial Privilege	80
(1) Patient Medical Information.....	80
(2) Student Records.....	81
(3) Special Needs Student Records.....	81
(4) News Sources and Nonpublished Information.....	81
(5) Certain Documents, Records, and Reports.....	81
(6) Applicability of Federal Law	82
(f) Production of Records in Criminal Cases.....	82

(g)	Nonevidentiary Privileges	83
(1)	Immunity from Liability (Litigation Privilege).....	83
(2)	Legislative Deliberation Privilege.....	83
501.	Privileges Recognized Only as Provided	85
502.	Attorney-Client Privilege.....	87
(a)	Definitions	87
(b)	General Rule of Privilege	87
(c)	Who May Claim the Privilege	88
(d)	Exceptions.....	88
(1)	Furtherance of Crime or Fraud.....	88
(2)	Claimants Through Same Deceased Client.....	88
(3)	Breach of Duty or Obligation.....	88
(4)	Document Attested by an Attorney	88
(5)	Joint Clients.....	88
(6)	Public Officer or Agency	88
503.	Psychotherapist-Patient Privilege	95
(a)	Definitions	95
(b)	Privilege.....	95
(c)	Effect of Exercise of Privilege.....	96
(d)	Exceptions.....	96
(1)	Disclosure to Establish Need for Hospitalization or Imminently Dangerous Activity	96
(2)	Court-Ordered Psychiatric Exam	96
(3)	Patient Raises the Issue of Own Mental or Emotional Condition as an Element of Claim or Defense	96

(4) Party Through Deceased Patient Raises Issue of Decedent’s Mental or Emotional Condition as Element of Claim or Defense.....	97
(5) Child Custody and Adoption Cases	97
(6) Claim Against Psychotherapist	97
(7) Child Abuse or Neglect	97
504. Spousal Privilege and Disqualification; Parent-Child Disqualification	100
(a) Spousal Privilege	100
(1) General Rule.....	100
(2) Who May Claim the Privilege.....	100
(3) Exceptions	100
(b) Spousal Disqualification.....	100
(1) General Rule.....	100
(2) Exceptions	100
(c) Parent-Child Disqualification	101
(1) Definitions	101
(2) Disqualification	101
505. Domestic Violence Victims’ Counselor Privilege	106
(a) Definitions	106
(1) Abuse.....	106
(2) Confidential Communication	106
(3) Domestic Violence Victims’ Counselor.....	106
(4) Domestic Violence Victims’ Program	106
(5) Victim.....	107
(b) Privilege.....	107
(c) Exception	107

506.	Sexual Assault Counselor–Victim Privilege.....	108
	(a) Definitions	108
	(1) Rape Crisis Center.....	108
	(2) Sexual Assault Counselor.....	108
	(3) Victim.....	108
	(4) Confidential Communication	108
	(b) Privilege.....	109
	(c) Exception	109
507.	Social Worker–Client Privilege	110
	(a) Definitions	110
	(1) Client	110
	(2) Communications.....	110
	(3) Reasonable Precautions.....	110
	(4) Social Worker.....	110
	(b) Privilege.....	110
	(c) Exceptions.....	111
508.	Allied Mental Health or Human Services Professional Privilege	114
	(a) Definitions	114
	(b) Privilege.....	114
	(c) Waiver.....	114
	(d) Exception	114
509.	Identity of Informer, Surveillance Location, and Protected Witness Privileges.....	116
	(a) Identity of Informer	116
	(b) Surveillance Location	116

(c)	Protected Witness	116
(d)	Who May Claim	117
510.	Religious Privilege.....	121
(a)	Definitions	121
(b)	Privilege.....	121
(c)	Child Abuse	121
511.	Privilege Against Self-Incrimination	123
(a)	Privilege of Defendant in Criminal Proceeding	123
(1)	Custodial Interrogation.....	123
(2)	Refusal Evidence.....	123
(3)	Compelled Examination	123
(4)	At a Hearing or Trial	123
(b)	Privilege of a Witness.....	123
(c)	Exceptions.....	123
(1)	Waiver by Defendant’s Testimony	123
(2)	Waiver by Witness’s Testimony	124
(3)	Limitation	124
(4)	Required Records	124
(5)	Immunity	124
512.	Jury Deliberations	133
513.	Medical Peer Review Privilege.....	134
(a)	Definitions	134
(b)	Privilege.....	135
(c)	Exceptions.....	135
(d)	Testimony Before Medical Peer Review Committee	136
(e)	Non–Peer Review Records and Testimony	136

514.	Mediation Privilege.....	139
	(a) Definition.....	139
	(b) Privilege Applicable to Mediator Work Product.....	139
	(c) Privilege Applicable to Parties' Communications.....	139
	(d) Privilege Applicable in Labor Disputes.....	139
515.	Investigatory Privilege.....	141
516.	Political Voter Disqualification.....	142
517.	Trade Secrets.....	143
518.	Executive or Governmental Privilege.....	144
519.	State and Federal Tax Returns.....	145
	(a) State Tax Returns.....	145
	(1) Disclosure by Commissioner of Revenue.....	145
	(2) Production by Taxpayer.....	145
	(3) Exceptions.....	145
	(b) Federal Tax Returns.....	145
	(1) General Rule.....	145
	(2) Exceptions.....	145
520.	Tax Return Preparer.....	147
	(a) Definition.....	147
	(b) Privilege.....	147
521.	Sign Language Interpreter–Client Privilege.....	148
	(a) Definitions.....	148
	(1) Client.....	148
	(2) Qualified Interpreter.....	148
	(3) Confidential Communication.....	148
	(b) Privilege.....	148

522.	Interpreter-Client Privilege	150
	(a) Definitions	150
	(1) Interpreter	150
	(2) Non-English Speaker.....	150
	(b) Privilege.....	150
	(c) Scope.....	150
523.	Waiver of Privilege	152
	(a) Who Can Waive.....	152
	(b) Conduct Constituting Waiver	152
	(c) Conduct Not Constituting Waiver	152
524.	Privileged Matter Disclosed Erroneously or Without Opportunity to Claim Privilege.....	155
525.	Comment upon or Inference from Claim of Privilege	156
	(a) Civil Case.....	156
	(b) Criminal Case	156

ARTICLE VI. WITNESSES.....159

601.	Competency.....	163
	(a) Generally.....	163
	(b) Rulings.....	163
	(c) Preliminary Questions	163
602.	Lack of Personal Knowledge	166
603.	Oath or Affirmation.....	167
604.	Interpreters	168
605.	Competency of Judge as Witness.....	169

606.	Competency of Juror as Witness.....	170
	(a) At the Trial.....	170
	(b) Inquiry into Validity of Verdict or Indictment	170
607.	Who May Impeach.....	175
608.	Impeachment by Evidence of Character and Conduct of Witness.....	176
	(a) Reputation Evidence of Character	176
	(b) Specific Instances of Conduct	176
609.	Impeachment by Evidence of Conviction of Crime.....	179
	(a) Generally.....	179
	(1) Misdemeanor	179
	(2) Felony Conviction Not Resulting in Committed State Prison Sentence	179
	(3) Felony with State Prison Sentence Imposed	179
	(4) Traffic Violation.....	179
	(5) Juvenile Adjudications of Delinquency or Youthful Offender.....	180
	(b) Effect of Being a Fugitive.....	180
610.	Religious Beliefs or Opinions	184
611.	Manner and Order of Interrogation and Presentation	185
	(a) Control by Court	185
	(b) Scope of Cross-Examination	185
	(1) In General.....	185
	(2) Bias and Prejudice.....	185
	(c) Leading Questions	185
	(d) Rebuttal Evidence.....	185

612.	Writing or Object Used to Refresh Memory	189
	(a) While Testifying	189
	(1) General Rule.....	189
	(2) Production and Use	189
	(b) Before Testifying	189
	(1) Production	189
	(2) Admissibility	190
613.	Prior Statements of Witnesses, Limited Admissibility	192
	(a) Prior Inconsistent Statements	192
	(1) Examining Own Witness.....	192
	(2) Examining Other Witness	192
	(3) Disclosure of Extrinsic Evidence	192
	(b) Prior Consistent Statements.....	192
	(1) Generally Inadmissible.....	192
	(2) Exception.....	192
614.	Calling and Interrogation of Witnesses by Court or Jurors	195
	(a) Calling by Court	195
	(b) Interrogation by Court	195
	(c) Objections	195
	(d) Interrogation by Jurors.....	195
615.	Sequestration of Witnesses	198
ARTICLE VII. OPINION AND EXPERT EVIDENCE		199
701.	Opinion Testimony by Lay Witnesses	201
702.	Testimony by Experts	204
703.	Bases of Opinion Testimony by Experts.....	214

704.	Opinion on Ultimate Issue	216
705.	Disclosure of Facts or Data Underlying Expert Opinion.....	219
706.	Court Appointed Experts	220
	(a) Appointment	220
	(b) Compensation	220
	(c) Disclosure of Appointment.....	220
	(d) Parties' Experts of Own Selection.....	220
ARTICLE VIII. HEARSAY		223
	Introductory Note.....	227
	(a) Confrontation Clause and Hearsay in Criminal Cases ...	227
	(b) Confrontation Clause Inapplicable	228
	(c) Scope of Right of Confrontation.....	228
	(d) Waiver of Right to Confrontation.....	229
801.	Definitions.....	230
	(a) Statement	230
	(b) Declarant.....	230
	(c) Hearsay	230
	(d) Statements Which Are Not Hearsay	230
	(1) Prior Statement by Witness	230
	(2) Admission by Party-Opponent	231
802.	Hearsay Rule	241
803.	Hearsay Exceptions; Availability of Declarant Immaterial	243
	(1) Present Sense Impression	243
	(2) Excited Utterance (Spontaneous Utterance).....	243

(3) Then-Existing Mental, Emotional, or Physical Condition	243
(4) Statements for Purposes of Medical Diagnosis or Treatment.....	243
(5) Past Recollection Recorded	244
(6) Business and Hospital Records.....	244
(A) Entry, Writing, or Record Made in Regular Course of Business	244
(B) Hospital Records	244
(C) Medical and Hospital Services	245
(7) Absence of Entry in Records Kept in Accordance with Provisions of Section 803(6)	246
(8) Official/Public Records and Reports	247
(A) Record of Primary Fact	247
(B) Prima Facie Evidence	247
(C) Record of Investigations.....	247
(9) Records of Vital Statistics	247
(10) Absence of Public Record or Entry	247
(11) Records of Religious Organizations	248
(12) Marriage, Baptismal, and Similar Certificates	248
(13) Family Records	248
(14) Records or Documents Affecting an Interest in Property	248
(15) Statements in Documents Affecting an Interest in Property	248
(16) Statements in Ancient Documents.....	248
(17) Statements of Facts of General Interest.....	248

(18)	Learned Treatises.....	249
(A)	Use in Medical Malpractice Actions.....	249
(B)	Use in Cross-Examination of Experts.....	249
(19)	Reputation Concerning Personal or Family History.....	250
(20)	Reputation Concerning Boundaries or General History.....	250
(21)	Reputation as to Character.....	250
(22)	Judgment of Previous Conviction.....	250
(23)	Judgment as to Personal, Family, or General History, or Boundaries.....	250
(24)	Out-of-Court Statement of Child Describing Sexual Contact in Proceeding to Place Child in Foster Care.....	250
(A)	Admissibility in General.....	250
(B)	Reliability of Statement.....	251
(C)	Findings on the Record.....	251
(D)	Admissibility by Common Law or Statute.....	252
804.	Hearsay Exceptions; Declarant Unavailable.....	268
(a)	Definition of Unavailability.....	268
(b)	Hearsay Exceptions.....	268
(1)	Prior Recorded Testimony.....	268
(2)	Statement Made Under Belief of Impending Death.....	269
(3)	Statement Against Interest.....	269
(4)	Statement of Personal History.....	269
(5)	Statutory Exceptions in Civil Cases.....	269
(6)	Forfeiture by Wrongdoing.....	271
(7)	Religious Records.....	271

(8) Admissibility in Criminal Proceedings of a Child’s Out-of-Court Statement Describing Sexual Contact.....	271
(9) Out-of-Court Statement of Child Describing Sexual Contact in Civil Proceeding, Including Termination of Parental Rights.....	274
805. Hearsay Within Hearsay	289
806. Attacking and Supporting Credibility of Hearsay Declarant	290

ARTICLE IX. AUTHENTICATION AND IDENTIFICATION.....291

901. Requirement of Authentication or Identification	293
(a) General Provision	293
(b) Illustrations	293
(1) Testimony of Witness with Knowledge	293
(2) Nonexpert Opinion on Handwriting.....	293
(3) Comparison by Trier or Expert Witness	293
(4) Distinctive Characteristics and the Like	293
(5) Voice Identification.....	293
(6) Telephone Conversations	293
(7) Public Records or Reports.....	294
(8) Ancient Documents	294
(9) Process or System.....	294
(10) Methods Provided by Statute or Rule	294
902. Self-Authentication	298
(a) Court Records Under Seal	298
(b) Domestic Official Records Not Under Seal.....	298

(c)	Foreign Official Records	298
(d)	Certified Copies of Public Records	299
(e)	Official Publications	299
(f)	Certain Newspapers	300
(g)	Trade Inscriptions	300
(h)	Acknowledged Documents	300
(i)	Commercial Paper and Related Documents	300
(j)	Presumptions Created by Law	300
(k)	Certified Copies of Hospital and Other	301
(l)	Copies of Hospital and Other Records of Itemized Bills and Reports	301
(m)	Copies of Bills for Genetic Marker Tests and for Prenatal and Postnatal Care.....	301
(n)	Results of Genetic Marker Tests	301
903.	Subscribing Witness Testimony Not Necessary	305

**ARTICLE X. CONTENTS OF WRITINGS
 AND RECORDS.....307**

1001.	Definitions.....	309
(a)	Writings and Records	309
(b)	Original	309
(c)	Duplicate.....	309
1002.	Requirement of Original (Best Evidence Rule)	311
1003.	Admissibility of Duplicates	313
1004.	Admissibility of Other Evidence of Contents	314
(a)	Originals Lost or Destroyed	314

(b)	Original Not Obtainable	314
(c)	Original in Possession of Opponent	314
(d)	Collateral Matters	314
1005.	Official Records	316
(a)	Authentication	316
(1)	Domestic.....	316
(2)	Foreign	316
(b)	Lack of Record	317
(c)	Other Proof	317
1006.	Summaries.....	318
1007.	Testimony or Written Admission of Party	319
1008.	Functions of Judge and Fact Finder	320

ARTICLE XI. MISCELLANEOUS SECTIONS321

1101.	Applicability of Evidentiary Sections.....	323
(a)	Proceedings to Which Applicable	323
(b)	Law of Privilege	323
(c)	Sections Inapplicable.....	323
(1)	Preliminary Determinations of Fact	323
(2)	Grand Jury	323
(3)	Miscellaneous Proceedings	323
(d)	Motions to Suppress	323
1102.	Spoliation or Destruction of Evidence.....	327
1103.	Sexually Dangerous Person Proceedings.....	330
(a)	Hearsay That Is Admissible.....	330
(b)	Hearsay That May Be Admissible.....	330

1104. Witness Cooperation Agreements.....335
1105. Third-Party Culprit Evidence.....338
1106. Abuse Prevention Act Proceedings.....341
TABLE OF AUTHORITIES343
INDEX.....399